



PTO/SB/08A/B (09-06)

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
				Application Number	09/914,110
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Filing Date	February 5, 2002
				First Named Inventor	Afeyan
				Art Unit	3693
				Examiner Name	Weisberger, Richard C.
Sheet	1	of	4	Attorney Docket Number	FLG-005

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
A	AA	5,193,056	03-09-1993	Boes	
	AB	5,794,207	08-11-1998	Walker et al.	
	AC	5,835,896	11-10-1998	Fisher et al.	
	AD	5,855,008	12-29-1998	Goldhaber et al.	
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Examiner Signature				Date Considered	7/16



PTO/SB/08A/B (09-08)

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		Examiner Name	Weisberger, Richard C.		
Sheet	2	of	4	Attorney Docket Number	FLG-005

AAT	4,567,359	01-28-1986	Lockwood	
AAU	6,098,051	08-01-2000	Lupien et al.	
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AAAF	5,729,700	03-17-1998	Melnikoff	
AAAG	5,745,882	04-28-1998	Bixler et al.	

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Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
[Handwritten Initials]	BA	WO 00/11570	02-03-2000	Accompany Inc.		
	BB	WO 00/43929	07-27-2000	iCoop Inc.		
	BC	WO 00/43938	07-27-2000	Innovative Concepts Gp Inc.		
	BD	WO 00/46727	08-10-2000	Mercata Inc.		
	BE	WO 98/10361	03-12-1998	Walker Asset Ltd.		
	BF	WO 99/20013	04-22-1999	Walker Asset Ltd.		
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	BJ	WO 00/19691	04-06-2000	Wireless System Tech.		
	BK	WO 00/21013	04-13-2000	Lustig		
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	BO	WO 00/39729	07-06-2000	Carlton-Foss		
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	[Handwritten Initials]	BR	WO 00/43928	07-27-2000	iCoop Inc.	
BS		WO 00/45318	08-03-2000	Accompany Inc.		
BT		WO 00/46687	08-10-2000	DealTime.com, Ltd.		

<sup>6</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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				Art Unit	3693
				Examiner Name	Weisberger, Richard C.
Sheet	3	of	4	Attorney Docket Number	FLG-005

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author ( in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
/	CA	L. Bransten et al., "New Company Aims to Shift IPO Playing Field" <u>The Wall Street Journal</u> , Feb. 8, 1999, page C1		
	CB	Financial Post Investing, "Hambrecht to Start Selling IPOs on the Internet: Dutch Auction Concept", <u>National Post</u> , February 9, 1999, page D01		
	CC	J. Cook, "Mercata, Inc. Unveils Plans for Internet Shopping Site" <u>Journal Business Reporter</u> , February 23, 1999 (as printed from the internet 5/19/99, <a href="http://eastsidejournal.comebiz_archive/nad26995.html">http://eastsidejournal.comebiz_archive/nad26995.html</a> )		
	CD	Selected web pages from Mercata, including Premium Brands and About Us, as printed from the internet 5/19/99, <a href="http://www.mercata.com">http://www.mercata.com</a>		
	CE	Selected web pages from Accompany, including Press Room, Welcome, How It Works, FAQs and Press Releases, as printed from the internet 5/17-19/99, <a href="http://www.accompany.com">http://www.accompany.com</a>		
	CF	B. Sullivan, "Allen's E-Commerce Vision: Bulk Buying for the Little Guy", May 14, 1999, selected web pages from MSBC		
	CG	Davis, "The Underwriting Underdog," May 1999, p. 100-111, Business 2.0		
	CH	Unknown, "The Level Playing Field," unavailable.		
	CI	Ellis, John, "Greenspan's Fear? Runaway Bills," June 26, 1999, <a href="http://www.boston.com">www.boston.com</a> ; The Boston Globe		
	CJ	Machan, Dyan, "An Edison," May 17, 1999; p. 178-185, Forbes		
	CK	Grebb, Michael, "Riding The Buy Cycle," July, 1999; p. 122-130, Business 2.0		
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	CP	Woolley, "Price War!", <u>Forbes Magazine</u> , 1-5 (December 14, 1998).		
	CQ	Strang et al., "Scans" Section, <u>Wired</u> , 51; 54, 56, and 58 (September 1995).		
	CR	Rockoff et al., "Design of an Internet-based System for Remote Dutch Auctions", <u>Internet Research: Electronic Networking Applications and Policy</u> , 5(4): 10-16 (1995).		
	CS	Kroll et al., "World Weary Web", <u>Forbes Magazine</u> , 1-3, 1-3, and 1-4 (December 28, 1998).		
	CT	"Multi-Product Delivery System Optimization Procedure", <u>IBM Technical Disclosure Bulletin</u> , 38(4): 501-503 (April 1995).		
	CU	Abstract, <u>World Reporter, Dagens Industri</u> , "Expected Success for Internet Shopping", WL 5900897, (Mar. 12, 1999).		
	CV	Online Document from Ask Reggie Domain Search, Accompany.com Domain contacts, <a href="http://www.askreggie.com">http://www.askreggie.com</a> , printed May 8, 2000.		
	CW	Online Document from Klik-Klok Online Dutch Auction, <a href="http://www.klik-klok.com/how.htm">http://www.klik-klok.com/how.htm</a> , printed, May 8, 2000.		
/	CX	Online Document from Franklin Regional Council of Governments, <a href="http://www.frcoq.or/purch.html">http://www.frcoq.or/purch.html</a> , printed May 8, 2000.		
/	CY	Online Document from Cooperative Educational Service Agency, <a href="http://www.cesa5.k12.wi.us/buying.html">http://www.cesa5.k12.wi.us/buying.html</a> , May 8, 2000.		
	CZ	Online Document from Who's Who for The Commerce Standard,		
Examiner Signature			Date Considered	7/1



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		<a href="http://www.gii.com/standard/who/index.html">http://www.gii.com/standard/who/index.html</a> , printed May 8, 2000.	
CAA		Online Document From Bullnet Online Auctions, <a href="http://www.bullnet.co.uk/auctions/info.htm">http://www.bullnet.co.uk/auctions/info.htm</a> , printed May 8, 2000.	
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CAC		Online Document from Agorics, Inc., <a href="http://www.agorics.com/">http://www.agorics.com/</a> , Sections: "A Survey of Auctions," "English Auction," "A Dutch Auction," "The First Price Auction," "The Vickrey Auction," "The Double Auction," "Auction Offshoots," "Auction Strategies," "Auction Histories," "Government Securities-Auctioned Off," "Collusion in Auctions," "Auction Bibliography," Printed May 10, 2000.	
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CAF		Abstract, "New Tricks in Pet Supplies"	
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CAI		Hibbard, "Buyer's Market: Companies like CarPoint, Deja.com, and Accompany are bringing power to the people," <u>Red Herring</u> , 146, 148, 150, 152, and 154 (November 1999).	
CAJ		Wit Capital's Digital IPOs, <u>Traders Magazine</u> , V11, n 139, p20+ (June 1998)	
CAK		Online Document: Bringing auction-based IPOs to the Web, <a href="http://news.cnet.com/news/0-1007-202-338414.html">http://news.cnet.com/news/0-1007-202-338414.html</a> , printed 01/06/00.	
CAL		Online Document: W.R. Hambrecht To Start Selling IPOs Via Internet, <a href="http://www.cba.uiuc.edu/~pellinge/current/2-11-11c.htm">http://www.cba.uiuc.edu/~pellinge/current/2-11-11c.htm</a> , printed 01/06/00.	
CAM		Online Document from Welcome to MacUser, <a href="http://www.macuser.co.uk/moredhel/news/32803.html">http://www.macuser.co.uk/moredhel/news/32803.html</a> , printed 01/06/00.	
CAN		Online Document from Medpool.com, <a href="http://www.medpool.com/in_the_news_invivo.html">http://www.medpool.com/in_the_news_invivo.html</a> , printed 01/25/00.	
CAO		Online Document from Fool.com, <a href="http://www.scribe.fool.com/Specials/1999/sp990316AboutIPOs02.htm">http://www.scribe.fool.com/Specials/1999/sp990316AboutIPOs02.htm</a> , printed 01/06/00.	
CAP		Selected web pages from Blockbuy, including "You don't have to be a Blockhead," and "Press Release - Blockbuy.com Announces \$1 Million in Private Placement Funding," <a href="http://www.blockbuy.com">http://www.blockbuy.com</a> , printed 01/31/00.	
CAQ		Selected web pages from OnlineChoice.com, including "Welcome to OnlineChoice.com," "Online Choices," and "Terms of Use Agreement and About Us," <a href="http://www.onlinechoice.com">http://www.onlinechoice.com</a> , printed 01/31/00.	
CAR		Selected web pages from ElectricityChoice.com, <a href="http://www.electricitychoice.com">http://www.electricitychoice.com</a> , printed 01/31/00.	
CAS		Bailey et al. (Spring 1997) "An Exploratory Study of the Emerging Role of Electronic Intermediaries" <u>Intl. J. Electronic Commerce</u> 1(3): 7-20.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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